Tight Performance Bounds of Multihop Fair Access for MAC Protocols in Wireless Sensor Networks and Underwater Sensor Networks

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Abstract—This paper investigates the fundamental performance limits of medium access control (MAC) protocols for particular multihop, RF-based wireless sensor networks and underwater sensor networks. A key aspect of this study is the modeling of a fair-access criterion that requires sensors to have an equal rate of underwater frame delivery to the base station. Tight upper bounds on network utilization and tight lower bounds on the minimum time between samples are derived for fixed linear and grid topologies. The significance of these bounds is two-fold: First, they hold for any MAC protocol under both single-channel and half-duplex radios; second, they are provably tight. For underwater sensor networks, under certain conditions, we derive a tight upper bound on network utilization and demonstrate a significant fact that the utilization in networks with propagation delay is larger than that in networks with no propagation delay. The challenge of this work about underwater sensor networks lies in the fact that the propagation delay impact on underwater sensor networks is difficult to model. Finally, we explore bounds in networks with more complex topologies.

Index Terms—Under water sensor networks, upper bounds, performance evaluation, multihop.

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1 INTRODUCTION

FUNDAMENTAL performance limitations must be well understood when establishing a network protocol in order to ensure that the protocol is appropriate for a particular network design choice. For example, in a bandwidth constrained system, one might rule out channelization to support the implementation of full duplex communications because they prefer to use contention-based or coordinated-access-based protocols, even when the first option may actually be more efficient. An inappropriate protocol can result in a network which cannot sustain expected traffic loads. It is important to study the fundamental performance limitations of wireless sensor networks (WSNs), as establishing the performance bounds of a network protocol is necessary for determining whether the protocol is appropriate for a particular network design choice. The wireless sensor networks (either RF-based sensor networks or acoustic underwater sensor networks)

considered in this paper are multihop: each sensor node performs sensing, transmission, and relay. All data frames are sent to a dedicated data-collection node, called the base station, that is responsible for relaying the frames to a dislocated command center over a radio or wired link.

For this study, we first consider the linear network, a commonly used topology designed by researchers from UC Santa Barbara for moored oceanographic applications [1], in which an array of equally spaced underwater marine sensors is suspended from a mooring buoy. All data in the network flow to a base station above the water's surface which is responsible for storing and relaying all collected data to a command center via an aerial radio link. During an event of interest, (e.g., a storm), it is desirable for the command center to acquire near real-time readings from all of the sensors in order to calibrate them as the event progresses [1]. An equally appropriate employment would include a collection of seismic sensors, perhaps a long grid topology, along a potential tsunami path that would monitor the movement of the wave phenomena over a relatively short distance and relay the collected data samples through the base station to an observatory station, as the radio signal would travel nearly 200,000 times faster than an acoustic signal. For such real-world applicable networks, it is critical that the medium access control (MAC) protocol [6], [7], [8], [9], [10], [11] ensure that each sensor has an equal opportunity to forward its local observations to the command system in order to establish trends or to detect anomalies.

In this paper, we introduce the notion of fairness for sensor data delivery to this environment and support the application of a fair-access criterion to the MAC protocols under consideration for use in both RF-based WSNs and underwater acoustic sensor networks (UASNs). Employing

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a fair-access MAC protocol, however, may have a negative impact on the network's performance in terms of reduced throughput of data delivery to the base station and increased average frame latency, as those stations furthest from the base station must compete with nodes closer to the base station for the limited network capacity, while those closer to the base station incur a greater traffic load as they must relay all traffic received from the upstream (pre-decessor) nodes. This paper analyzes such an impact by deriving tight bounds on the network utilization and frame latency performance of fair-access MAC protocols for linear topology and for two-row grid topologies. The bounds are significant because they hold for any MAC protocol conforming to the fair-access criterion, such as contention-based protocols (e.g., Aloha or CSMA based) or contention-free protocols (TDMA, etc.) under both single-channel and half-duplex radios. We show that these bounds are tight by proving that they can be achieved by a particular TDMA scheduling algorithm. We also show how to obtain the performance bounds of more complex grid topologies using the analysis method employing by linear topology and two-row grid topologies.

The existence of a computationally traceable optimal fair-access protocol is interesting because it has been shown that the general problem of optimal scheduling for a multihop network is NP-complete [2]. This may be because we consider only the topology in which the routing structure is simple. The data forwarding paths of a linear or grid network can be modeled as a tree. While tree-based scheduling may be too restrictive for arbitrary ad hoc networks [3], such an approach seems appropriate for networks in which all traffic must flow to a collective base station, which essentially forms a root node. The flow of traffic along the branches of the tree must be deconflicted with the flow of traffic along other branches so that collisions or interference between branches is eliminated or minimized. Individual node transmission windows may be adaptive [4] or static, as described herein. While a multihop star topology may be of particular interest, a linear one is directly applicable to buoyed networks. Furthermore, if the branches of the star are noninterfering, then it is the final hop of the star by which each branch connects to the base station that must be carefully controlled in order to limit collisions.

We also examined the effect of the end-to-end perfor-mance bounds on the traffic generation rate and the sensing interval of individual sensors. This paper presents an analysis that confirms that the maximum feasible load offered by each sensor node is inversely proportional to the size of the network, which implies that multiple smaller networks may be inherently preferable to fewer larger networks.

In short, the contributions of this paper are given as following. First, this paper presents the concept of fair access, which applies to both WSNs and UASNs. We then present a formal analysis of the utilization and delay bounds of specific linear or grid networks that require fair access. Next, we provide analysis of bounds in more complex topologies. The significance of these bounds is two-fold: First, they are universal (i.e., they hold for any MAC protocol) under both single-channel and half-duplex radios; second, they are provably tight (i.e., they can be achieved by a version of the

time division multiple access (TDMA) protocol that is self-clocking and therefore does not require system-wide clock synchronization). In addition, this formal analysis provides a feasible way to estimate the performance bounds of more complex topologies. Therefore, in Section 8, we present the analysis results for general $k \times n$ grid network. Finally, the performance bounds of underwater sensor networks are explored with the consideration of propagation delays. A tight upper bound on network utilization is derived for the case in which propagation delay is less than or equal to half of the frame transmission time, which demonstrates that the utilization in networks with propagation delays is larger than in networks without propagation delays. The challenge lies in the fact that the propagation delay impact on underwater sensor networks is difficult to model.

The rest of this paper is organized as follows: Section 2 reviews the related work. Section 3 provides a problem formulation; Section 4 studies RF-based WSNs; Section 5 studies UASNs; Sections 6 and 7 provide performance analysis for WSNs and USANs, respectively; Section 8 presents analysis of bounds in more complex topologies; Section 9 provides the simulation results; finally, we conclude this paper in Section 10.

2 RELATED WORK

In many applications of sensor networks, data frames generated by every node need to reach the base station. In this scenario, the communication pattern is many-to-one also known as convergecast [12], [13]. Convergecast can be accomplished by employing either contention-based MAC protocols like CSMA or contention-free MAC protocols like TDMA. Contention-based MAC protocols usually consume more energy than TDMA protocols since they waste energy during collisions and idle listening [14]. For example, a traffic monitoring network using the TDMA protocol described in [15] has a lifetime of 1,000 days, compared to 10 days for a network using contention-based MAC protocols. Thus, many applications in sensor networks employ TDMA scheduling algorithms [2], [3]. These algorithms aim to minimize the number of time slots required for each node to communicate once with all its neighbors. However, these algorithms might incur high latency in the Convergecast scenario.

The authors in [16], [17] proposed algorithms to obtain the minimum delays in collecting sensor data for networks of various topologies such as line, multiline, and tree. In these papers, they approached the problems from the way that base station sends frames to the sensor nodes. In addition, the algorithms proposed in [16], [17] are centra-lized such that the schedule is computed at the base station and requires cooperation between nodes. However, these requirements may not be practical in some sensor network applications. The authors in [12] proposed a distributed minimal time convergecast scheduling process in which each node computes its own schedule after the initialization phase. However, all of the scheduling algorithms for multiline topology networks in [12], [16], [17] assume that there is no interference between different routing routes. Furthermore, tree networks can be reduced into equivalent multiline networks, as in [12], [16], [17], and thus the

proposed algorithms for the tree topology also have implicit assumptions above.

As in [12], the optimal TDMA fair scheduling in our paper is also distributed. Although the scheduling algorithm for linear topology in our paper is similar to the line case in [12], we propose a novel method to place lower bounds on data collection times. Unlike in the previous work, the multiline topology networks referred to as grid topologies in our paper assume that there exists interference between different routing routes; our scheduling algorithms for a grid topology are therefore more complicated. In addition, all previous works were focused on terrestrial wireless sensor networks and have not considered the propagation characteristics of the underwater wireless medium. Many papers [5], [6], [7], [8], [9], [21], [22], [23], [24], [25], [26], [27] have addressed MAC in underwater sensor networks, but they have not considered our problems. This paper addresses the impact of nontrivial propagation delays, a definitive characteristic of underwater acoustic networks. Considering nontrivial propagation delays, this problem is difficult to study, as shown in the approach presented in Section 5. For example, we demonstrate that the utilization in networks with propagation delay is larger than in networks with no propagation delay under certain conditions. Another difference of this paper from the previous work is that we consider utilization under the fair-access criterion introduced in the next section.

Note that in this paper the derived upper bounds hold for any MAC protocol (including CSMA, TDMA, Aloha, etc.) under both single-channel and half-duplex radios. For more information on upper limits for CSMA-like MAC proposals such as CSMA-CA, the readers may refer to [19], [20].

Just for the illustration purpose, if we do not consider the average performance and consider only one round of transmissions, a CSMA protocol could accidentally act as an optimal TDMA protocol for a short time; therefore, the tight bound could also be achieved by CSMA protocols for that short period of time.

3 PROBLEM FORMULATION

In this section, we first present the sensor network model; then we give the fair-access criterion definition, based on which we formulize the optimization problem under a few assumptions. Lastly, we describe the linear and grid topologies on which we explore the tight upper bounds on network utilization.

Sensor network definition. Consider a wireless sensor network comprised of a base station (BS) and n sensor nodes, denoted as O_i; $i = 1, 2, \ldots, n$. Sensor nodes generate sensor data frames and send them to the BS. Some sensor nodes perform the additional task of forwarding/routing frames to the BS, (i.e., a frame may need to be relayed by several nodes in order to reach the BS).

Note that the above definition is not limited to a particular topology. Let $U(n)$ denote the utilization of the above network, (i.e., the fraction of time that the BS is busy receiving correct data frames). Let G_i denote the contribution of (i.e., data generated by) sensor O_i to the total
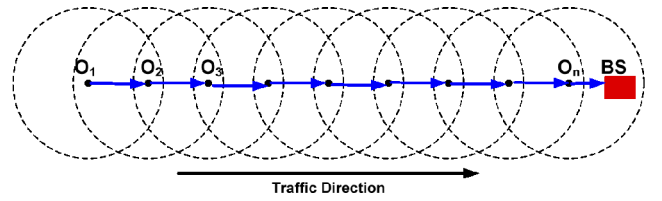

Fig. 1. A linear topology.

utilization. The following holds: $U(n) = \sum_{i=1}^{n} G_i$. Implicit in the utilization is the impact of propagation delays. As noted, these delays can be significant for UASNs, especially when compared to more traditional RF-based wireless networks.

Suppose that the network is *required* to use a MAC protocol that ensures all hosts are provided with the capability to contribute equally to the composite throughput. The impacts of such a criterion on RF-based WSNs (negligible propagation) and UASNs (nonnegligible propagation) are considered in this paper. The criterion is presented as follows:

Fair-access criterion definition. A MAC protocol used by the sensor network satisfies the fair-access criterion if all sensor nodes contribute equally to the network utilization. In other words, if the following condition holds:

$$G_1 = G_2 = \cdots = G_n. \tag{1}$$

Optimization objective and assumptions. Consider a sensor network like the one described above. The optimization problem maximizes $U(n)$ under the fair-access criterion. In the remainder of this paper, we investigate this problem under the following assumptions:

1. All data frames are of the same size.
2. All sensor nodes have the same transmission capacity.
3. Acknowledgments are either implicit via piggyback or are explicit and out-of-band.
4. In-network sensor data processing is not used.
5. If two sensor nodes are within one-hop, one sensor node's transmission will interfere with the other's reception.
6. Internal node processing delays, which are associated with frame storage and queuing within a node, are negligible. Propagation delay is negligible for WSNs, but not for USANs.
7. Other characteristics, such as variable propagation delay, frequency dependent path loss, fading, noise and Doppler spread of USANs are not discussed in this paper.

Linear topology. The topology is illustrated in Fig. 1. n sensor nodes and a BS are placed in a linear fashion. Assume that the transmission range of each node is just one hop and that the interference range is less than two hops. In other words, only neighboring nodes have overlapping transmission ranges. As shown in Fig. 1, O_i generates sensor data frames and sends the frames to O_{i+1}. O_i also relays data frames received from O_{i-1} to O_{i+1}. Finally, O_n forwards data to the BS, which collects all of the data frames.

2-row grid topology. The 2-row grid topology is illustrated in Fig. 2. The transmission ranges are such that
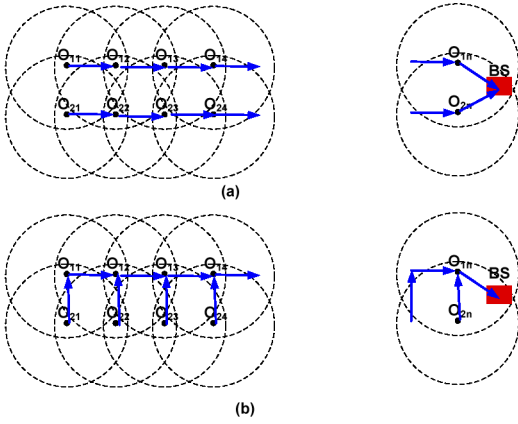
Fig. 2. Grid topology with two rows of sensors.

horizontal or vertical neighbors can hear each other but two diagonal neighbors cannot. Two different routing patterns are considered: 1) the two rows forward data frames independently, as illustrated in Fig. 2a, or 2) the bottom sensors forward data to the top row first, as illustrated in Fig. 2b. The results for this grid can be extended to grids with more rows, but such results are not included here due to space limitations. Some more complex topologies are introduced in Section 8.

Different routing patterns behave differently since neighboring routing paths may interfere if the routing patterns are different. The number of routing patterns is exponentially large (with all kinds of combinations). Therefore, it is both impossible and unnecessary to study all of the routing patterns. Instead, we select some representative patterns.

4 RF-BASED WIRELESS SENSOR NETWORK (NOT UNDERWATER)

In this section, we first derive upper bounds on network utilization for two specific topologies, linear and 2-row grid, under the fair-access criteria in RF-based wireless sensor network. Then, we show that derived upper bounds are indeed achievable by a particular TDMA scheduling algorithm.

4.1 Derivation of Utilization and Delay Bounds

In this section, we derive upper bounds on $U(n)$ and lower bounds on the effective intertransmission delay of a node, that is, the time between samples for a given node for two specific topologies, linear and 2-row grid, under the fair-access criteria. We then present three theorems which establish the performance bounds. Finally, the proofs of the theorems are given for completeness.

Theorem 1. *For the linear topology, under fair access, $U(n)$ is upper bounded by the optimal utilization, $U_{opt}(n)$*

$$U(n) \leq U_{opt}(n) = \begin{cases} n/[3(n-1)], & n > 1, \\ 1, & n = 1. \end{cases} \quad (2)$$

An asymptotic lower limit for the optimal utilization exists and is equal to 1/3.

Moreover, the intersample time for each node, denoted by $D(n)$, is lower bounded by the minimum effective transmission delay for the node, or minimum cycle time, $D_{opt}(n)$

$$D(n) \geq D_{opt}(n) = \begin{cases} 3(n-1)T, & n > 1 \\ T, & n = 1, \end{cases} \quad (3)$$

where T is the transmission time of one data frame.

Theorem 2. *For the 2-row grid topology with the routing pattern as illustrated in Fig. 2a, under fair access, $U(2n)$ is upper bounded by the optimal utilization, $U_{opt}(2n)$*

$$U(2n) \leq U_{opt}(2n) = 2n/(3n-1). \quad (4)$$

The asymptotic lower limit for the optimal utilization is $\frac{2}{3}$.

Moreover, $D(2n)$ is lower bounded by the minimum intersampling time, $D_{opt}(2n)$

$$D(2n) \geq D_{opt}(2n) = (3n-1)T, \quad (5)$$

where T is the transmission time of one data frame.

Theorem 3. *For the 2-row grid topology with the routing pattern depicted in Fig. 2b, under the fair-access criterion, $U(2n)$ is upper bounded by the optimal utilization, $U_{opt}(2n)$:*

$$U(2n) \leq U_{opt}(2n) = \begin{cases} 2n/(6n-5), & n \geq 2 \\ 2/3, & n = 1. \end{cases} \quad (6)$$

The asymptotic lower limit for the optimal utilization is 1/3.

Moreover, $D(2n)$ is lower bounded by the minimum transmission delay, or time between samples, $D_{opt}(2n)$:

$$D(2n) \geq D_{opt}(2n) = \begin{cases} (6n-5)T, & n \geq 2 \\ 3T, & n = 1, \end{cases} \quad (7)$$

where T is the transmission time of one data frame.

The significance of Theorems 1-3 is that they provide optimal bounds on utilization, regardless of the MAC protocol employed. In other words, no matter which MAC protocol is used, whether contention-free (TDMA, token passing, etc.) or contention-based (CSMA, aloha, etc.), the bounds hold as long as the protocol conforms to the fair-access criterion. In order to prove optimality, we must prove that 1) the bounds hold for any fair-access conforming MAC protocol and that 2) the bounds are indeed achievable by at least one protocol.

Note that there are n nodes in Fig. 1, but $2n$ nodes in Fig. 2, as reflected in the notation for the network utilization and the minimum intersample time, or transmission delay.

Before showing the actual proofs, let us provide some of the intuition behind them. The fair-access criterion requires that $G_1 = G_2 = \cdots = G_n$ for the linear network. Let x denote the time period during which the BS successfully receives at least one original data frame from each sensor node in the network. It is clear that x is a random variable, that we can derive the minimum value of x, and that the maximum utilization is also achieved when the minimum value of x is achieved. During the time period x, the BS has busy time (denoted as b) receiving frames and idle time (denoted as y) when it is either blocked or waiting for its upstream neighbor to send. Thus, $x = b + y$. Note that x is the cycle time for the network under the fair-access criteria and that it determines the effective transmission delay for a node with a static ordering of relayed frames. For discussion purposes, we use a frame and the time period of transmitting/receiving a frame interchangeably in the following proofs.

Since we assume no particular MAC protocol, frames may be lost, corrupted, or delayed due to collisions or queuing.

Proof of Theorem 1. For n > 2: During the time period x, the BS needs to receive at least n frames from O_n because frames may be lost or delayed as noted above. Thus, O_n transmits at least n frames (including $n-1$ relayed frames and one of its generated frames). We therefore have $b \geq nT$. Likewise, in order for O_n to receive $(n-1)$ frames from O_{n-1}, O_n needs to listen to at least $(n-1)$ frames, during which time the BS must be idle. Furthermore, when O_{n-2} transmits, O_n cannot transmit since they are within two-hops (i.e., O_n's transmissions will interfere with the frame reception by O_{n-1} from O_{n-2}). O_{n-2} needs to transmit at least $(n-2)$ frames to O_{n-1}, during which time O_n cannot transmit. Therefore, the total time in which O_n cannot transmit is $y \geq (n-1)T + (n-2)T$. Therefore, we have

$$x = b + y \geq nT + (n-1)T + (n-2)T.$$

Since $D(n) = x$, we were able to derive (3) for the case of $n > 2$. During the time period x, the BS may receive more than n frames, but only n frames can be counted in the utilization under the fair-access criterion. Since we must minimize x to achieve the optimal utilization, we have

$$U(n) = nT/x \leq nT/[nT + (n-1)T + (n-2)T]$$
$$= n/[3(n-1)],$$

which proves (2) for the case of $n > 2$.

Since $\lim_{n \to \infty} n/[3(n-1)] = 1/3$, 1/3 is the asymptotic lower limit for the optimal utilization.

For n = 2: Since we want $G_1 = G_2$ during the time period x, O_2 transmits at least two frames (one relayed frame and its own). We have $b \geq 2T$. O_2 needs to listen to at least one frame from O_1. We have $y \geq T$ and thus $x = b + y \geq 3T$. Since $D_{opt} = x$, we were able to derive (3). Since we must minimize x to achieve the optimal utilization, $U(n) = 2T/x \leq 2T/\ 3T = 2/3$, which proves (2) for this case.

For n = 1. Obviously, $U(1) \leq 1$ and $D(1) \geq T$.   □

Proof of Theorem 2. For n > 2: Under the fair-access criterion, during the time period x, the BS needs to receive at least n frames from O_{1n} because frames can collide, be corrupted, or be delayed (i.e., O_{1n} transmits at least n frames (including $n-1$ relayed frames and one of its generated frames) to the BS). Likewise, O_{2n} transmits at least n frames to the BS. We therefore have $b \geq 2nT$. In order for O_{1n} to receive $n-1$ frames from $O_{1(n-1)}$ and for O_{2n} to receive $n-1$ frames from $O_{2(n-1)}$, O_{1n} and O_{2n} need to listen for at least $(n-1)$ frames. Note that when $O_{1(n-2)}$ transmits, O_{1n} cannot transmit but O_{2n} can. Similarly, when $O_{2(n-2)}$ transmits, O_{2n} cannot transmit but O_{1n} can. So, the total time in which neither O_{1n} nor O_{2n} can transmit is $y \geq (n-1)T$. Thus, we have $x = b + y \geq 2nT + (n-1)T$. Since $D_{opt} = x$, we were able to derive (5) for this case. During the time period x, the BS may receive more than $2n$ frames, but only $2n$ frames can be counted in the utilization under the fair-access

criterion. To achieve the optimal utilization, we minimize x, yielding

$$U(2n) = 2nT/x \leq 2nT/[2nT + (n-1)T] = 2n/(3n-1).$$

The rest of the proof is omitted for brevity.   □

Proof of Theorem 3. For n > 2: Under the fair-access criterion, during the time period x, the BS needs to receive at least $2n$ frames from O_{1n}, as shown above. We therefore have $b \geq 2nT$. In order for O_{1n} to receive $2(n-1)$ frames from $O_{1(n-1)}$ and one frame from O_{2n}, O_{1n} must listen for at least $2(n-1) + 1$ frames. Furthermore, when either $O_{1(n-2)}$ or $O_{2(n-1)}$ transmits, O_{1n} cannot transmit. $O_{1(n-2)}$ must transmit at least $2(n-2)$ frames, and $O_{2(n-1)}$ must transmit at least one frame (if frames collide, are corrupted, or delayed more frames are needed). Thus, we have $y \geq 2(n-1)T + T + 2(n-2)T + T - T = (4n-5)T$. During this time the BS may receive more than $2n$ frames, but only $2n$ frames can be counted in the utilization under the fair-access criterion. Minimizing x to achieve the optimal utilization yields $U(2n) \leq 2nT/(2nT + (4n-5)T) = 2n/(6n-5)$. The rest of the proof is omitted for brevity.   □

From the proofs of Theorems 1, 2, and 3, we can see that we only take use of the knowledge of the topology of sensor nodes within three hops of the base station and the number of frames transferred by them to derive the upper bound of network utilization. Thus, we can extend this analysis method to complex topology network. In Section 8, We will explain it in detail.

4.2 Bound Achievability via Optimal Fair Scheduling

In this section, we prove that the performance bounds introduced in Theorems 1, 2, and 3 are indeed achievable. Particularly, we present a TDMA scheduling algorithm that conforms to the fair-access criterion and show that it achieves the performance bounds. Note that herein the optimal utilization is under the constraint of the fair-access criterion. Otherwise, by simply allowing only O_n to transmit, the optimal utilization is 1. Recall that we assume a fixed data frame size and negligible propagation and processing delays. Thus, for the following discussion we divide the time into equal-duration time slots with durations equal to the time needed to transmit one frame. The TDMA algorithm, which we term optimal fair scheduling, is described below.

Optimal fair scheduling for linear topology. Three tables containing the optimal schedules for the cases of $n = 1, 2, 3$, respectively, are shown in Fig. 3. Each row of the tables depicts node actions in a specific time slot. Consider the examples shown in the table of Fig. 3b: at slot 1, O_1 transmits while O_2 receives and the BS is idle; at slot 2, O_2 relays the frame received in the previous slot to the BS; etc. It is not difficult to show that these schedules achieve the bounds for the cases of $n = 1, 2, 3$, respectively.

For the general case of $n > 3$, let $d = D_{opt} = 3(n-1)$. A schedule with cycle d can be created as follows: O_1 transmits in time slots $(d \cdot j) + 1; j = 0, 1, \ldots$; O_i $(i = 2, \ldots, n)$ transmits relayed frames to O_{i+1} from time slot $(d \cdot j) + f(i)$ to

Fig. 3. Optimal schedules for small linear topologies (Legend: R: relay traffic; T: transmit own traffic; L: listening or receiving: G: frame received at BS).

time slot $(d \cdot j) + f(i) + i - 2$ and transmits one of its own frames to O_{i+1} time slot $(d \cdot j) + f(i) + i - 1; j = 0, 1, \ldots,$ where $f(i)$ is recursively defined as follows:

$$f(i) = \begin{cases} 1, & i = 1, \\ f(i-1) + (i-1), & i > 1. \end{cases} \quad (8)$$

The proof of the schedule's optimality for arbitrary n is omitted for brevity.

Note that if we allow sensors to be self-clocking among sensors by listening to the wireless media, the above TDMA scheme can be implemented easily without requiring system-wide clock synchronization.

Optimal fair scheduling for Fig. 2a grid topology. Before considering a general case, we must first consider some simple cases in which n is small. A schedule for $n = 1$ is illustrated in Fig. 4a. The utilization is 1. With $n = 2$, when O_{11} transmits, O_{12} and O_{22} cannot transmit. A schedule is illustrated in Fig. 4b. The utilization is $4/5$. These are consistent with Theorem 2 and are thus optimal.

Optimal fair scheduling for Fig. 2b. We first consider some simple cases where n is small. For Fig. 2b, in which $n = 1$, one scheme is shown in Fig. 5a. The utilization is $2/3$. For $n = 2$, O_{12} and O_{11} cannot transmit when O_{21} transmits. One possible scheme is shown in Fig. 5b. The utilization is $4/7$. With $n = 3$, the only nodes that can transmit at the same time are O_{21}, O_{22}, and O_{23}. One scheme is shown in Fig. 5c, and the utilization is $6/13$. Each of these is consistent with Theorem 3.

Now consider the general case. To fully utilize parallel transmissions, we let $O_{2j}(j = 0, \ldots, n)$ transmit in the first slot. The second row waits for the remainder of the cycle while the first row forwards the traffic to the BS. This portion is simply a linear topology with double loads.

Fig. 4. Optimal schedules for small Fig. 2a grid networks.

Therefore, the achievable utilization is

$$\frac{2n}{2n + 2(n-1) + 2(n-2) + 1} = \frac{2n}{6n - 5},$$

which is consistent with Theorem 3. Since the bound is achievable, it is optimal. We can verify Fig. 5 when $n = 1, 2,$ or 3. Interestingly, when $n \to \infty$, the asymptotic limit for the upper bound of the optimal utilization is $1/3$, which is less than $2/3$, or the bound for traffic forwarded across the rows first, as in Fig. 2a.

The optimal scheduling algorithms introduced above, though TDMA in nature, can be implemented without global clock synchronization. This is because a node's reception of a frame originated by its immediate upstream neighbor triggers that node's own transmission for the same cycle, thereby achieving self-clocking.

Fig. 5. Optimal schedules for small Fig. 2b grid networks.

4.3 Traffic Load and Sensor Data Sampling Limit

This section addresses the impact of end-to-end performance bounds on the traffic load limitation of each sensor. Let ρ denote the traffic load generated by each sensor node. For the networks in Figs. 1, 2a, and 2b, since each node can transmit at most one original frame, which requires a period of T in every $3(n-1)T$ time period, $(3n-1)T$ time period, and $(6n-5)T$ time period, respectively, we must have $\rho \le T/x = 1/[3(n-1)]$, $\rho \le T/x = 1/[(3n-1)]$, and $\rho \le T/x = 1/[(6n-5)]$, respectively, if $n > 2$. Furthermore, a data frame contains protocol overhead (because of headers and/or trailers). Thus, ρ must be adjusted to account for this overhead. Denote α to be the fraction of actual data bits in a frame. We have the following three theorems:

Theorem 4. *For the linear topology illustrated in Fig. 1, under the fair-access criterion, the maximum feasible per node traffic load is*

$$\frac{\alpha}{3(n-1)}, \; if \; n > 2. \quad (9)$$

Theorem 5. *For the 2-row grid topology depicted in Fig. 2a, under the fair-access criterion, the maximum feasible per node traffic load is*

$$\frac{\alpha}{3n-1}, if \; n > 2. \quad (10)$$

Theorem 6. *For the 2-row grid topology depicted in Fig. 2b, under the fair-access criterion, the maximum feasible per node*
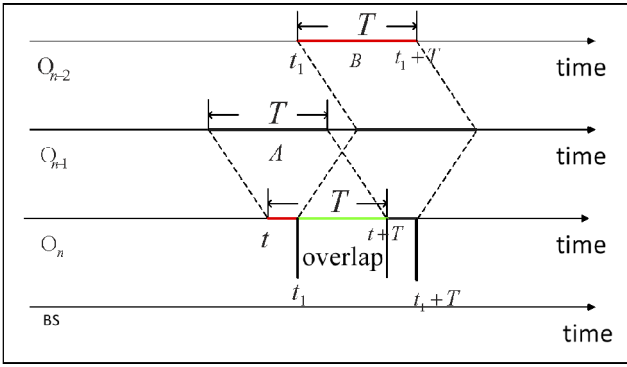
Fig. 6. Overlapping period.

traffic load is

$$\frac{\alpha}{(6n-5)}, if\ n > 2. \tag{11}$$

These three theorems not only tell us the traffic limitation of the sensor network, but they also provide lower bounds on the average sensor sampling rate/intervals (i.e., the minimum supportable time T/ρ between samples). The proofs are omitted.

5 UNDERWATER ACOUSTIC SENSOR NETWORKS

Consider an underwater sensor network in which the transmission medium is water and the carrier is an acoustic signal. We derive upper bounds on $U(n)$ and lower bounds on the minimum transmission delay, or time between samples, for the linear topology under the fair-access criterion. We consider the impact of nonnegligible propagation delay. We denote transmission time and propagation delay as T and τ, respectively. As stated in the previous section, we let x denote the time period during which the BS successfully receives at least one original data frame from each sensor node in the network. We let b and y denote busy time and idle time, respectively. Thus, we have $x = b + y$. In Theorem 7, we study optimal utilization for underwater sensor networks.

Theorem 7. *For the linear topology, under fair access, utilization is upper bounded by the optimal utilization $U_{opt}(n)$ for all $\tau(\tau \leq T/2)$*

$$U(n) \leq U_{opt}(n) = \begin{cases} nT/[3(n-1)T - 2(n-2)\tau], & n > 1, \\ 1, & n = 1, \end{cases} \tag{12}$$

and the maximum utilization $U_{opt}(n)$ can be achieved by a special case. An asymptotic lower limit for the optimal utilization exists and is $1(3 - 2\tau/T)$. The intersample time for each node, denoted by $D(n)$, is lower bounded by the minimum effective intertransmission delay for a node, or the minimum cycle time, $D_{opt}(n)$

$$D(n) \geq D_{opt}(n) = \begin{cases} 3(n-1)T - 2(n-2)\tau, & n > 1, \\ T, & n = 1. \end{cases} \tag{13}$$

Proof of Theorem 7. For $n > 2$: During the time period x, the BS needs to receive at least n frames from O_n. Thus, O_n transmits at least n frames (including $n - 1$ relayed frames and one of its generated frames). We have
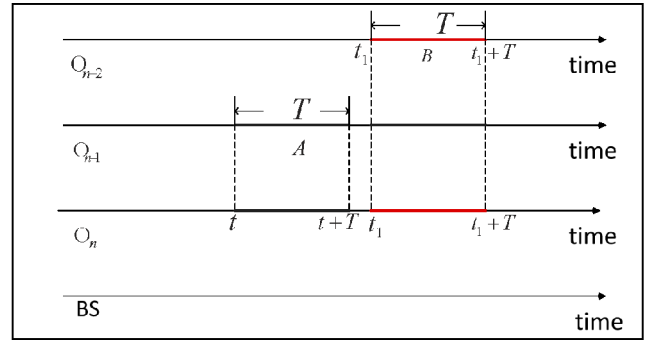

Fig. 7. Idle period in terrestrial wireless sensor network.

$b \geq nT$. Likewise, in order for O_n to receive $(n - 1)$ frames from O_{n-1}, O_n needs to listen to at least $(n - 1)$ frames, during this time (there is τ time delay) the BS must be idle. In the proof for terrestrial wireless sensor networks, since the propagation delay is ignored, when O_{n-2} transmits, O_n cannot receive frames from O_{n-1} because O_{n-1} cannot transmit and receive frames at the same time. However, in underwater sensor network in which propagation delay cannot be ignored, when O_{n-2} transmits, O_n still can receive frames from O_{n-1}. This fact is illustrated be the example in Fig. 6. As shown in Fig. 6, we assume that O_n receives frame A in $(t, t + T)$ and O_{n-2} transmits frame B in $(t_1, t_1 + T)$. Since O_{n-2} and O_n are within two-hops, O_n is blocked in $(t_1, t_1 + T)$ assuming the propagation delay is the same between both node pairs. For example, the overlap is $(t_1, t + T)$ in Fig. 6. In other words, when O_{n-2} transmits in $(t_1, t + T)$, O_n can still receive frames. As illustrated in Fig. 7, in terrestrial wireless sensor networks, O_n cannot transmit when either O_{n-1} or O_{n-2} is transmitting. Furthermore, when O_{n-2} transmits B, O_{n-1} cannot transmit A. Thus, the idle period generated by O_{n-2} transmitting B and O_{n-1} transmitting A is $2T$. However, as shown in Fig. 6, in underwater sensor networks, the idle period generated by O_{n-2} transmitting B and O_{n-1} transmitting A is $t_1 + T - t$, which is less than $2T$.

Under the constraint of $\tau \leq T/2$, when overlapping is maximized, the idle period generated independently by frame B reaches its minimum. To maximize the throughput of O_{n-1}, let O_{n-1} first finish transmitting frame A, then begin receiving of frame B immediately.
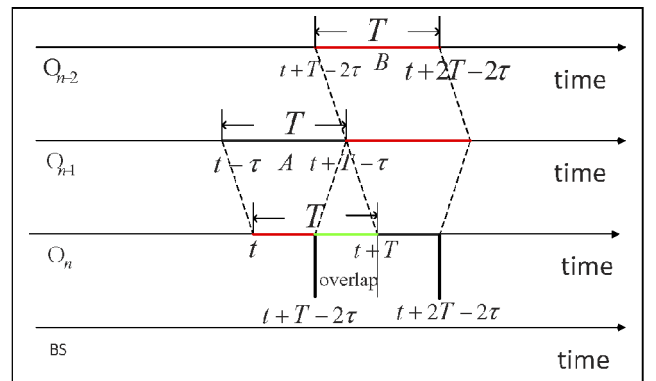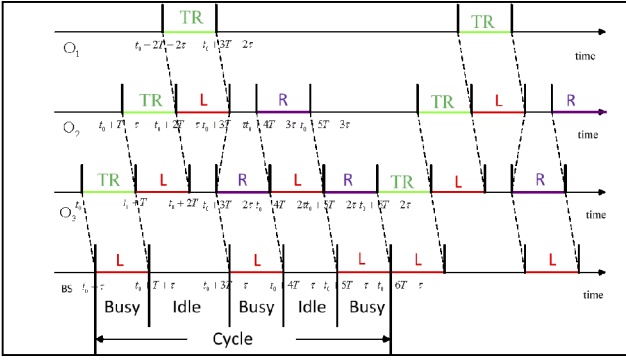

Fig. 8. Maximal overlapping ($\tau \leq T/2$).

Fig. 9. Bottom-up approach for Linear topology (n = 3) [Legend: TR: transmit own traffic; R: relay traffic (note: actually relay latest received frame from upstream nodes); L: receiving].

This analysis is illustrated in Fig. 8: O_n receives frame A in $(t, t+T)$, which implies that O_{n-1} transmitted frame A in $(t - \tau, t - \tau + T)$. Let O_{n-2} transmit frame B in $(t + T - 2\tau, t + 2T - 2\tau)$ so that its first bit reaches O_{n-1} in $t + T - \tau$. From Fig. 8, it is easy to see that, if $T - 2\tau \geq 0$, for $\tau \leq T/2$, the maximum overlapping period is $(t + T - 2\tau, t + T)$. Thus, the minimum time during which O_n may not transmit in order to prevent collision with frame B at O_{n-1} is $(t + 2T - 2\tau) - (t + T) = T - 2\tau$. Therefore, the total time in which O_n must be idle, assuming that each frame is sent individually, is $yv \geq (n-1)T + (n-2)(T - 2\tau)$. Therefore, we have $x = b + y \geq nT + (n-1)T + (n-2)(T - 2\tau) = (n-1)(3T - 2\tau) + 2\tau$.

Since $D(n) = x$, we are able to derive (13) for the case of $n > 2$. During the time period x, the BS may receive more than n frames, but only n frames can be counted in the utilization under the fair-access criterion. Since we must minimize x to achieve the optimal utilization, we have

$$U(n) \leq nT/[nT + (n-1)T + (n-2)(T - 2\tau)]$$
$$= nT/[(n-1)(3T - 2\tau) + 2\tau],$$

which proves (13) for the case of $n > 2$.

For $n = 2$: Since we want $G_1 = G_2$ during the time period x, O_2 transmits at least two frames (one relayed frame and its own). We have $b \geq 2T$. O_2 needs to listen to at least one frame from O_1. We have $y \geq T$ and thus $x = b + y \geq 3T$. Therefore, we must minimize x to achieve the optimal utilization, $U(n) = 2T/x \leq 2T/3T = 2/3$, which proves (13) for this case. Note that the propagation delay can be ignored since it is possible to send the frame from O_1 such that it arrives at O_2 just as O_2 finishes transmitting of the previous frames.

For $n = 1$: Obviously, $U(1) \leq 1$. We will prove that the performance bounds $U_{opt}(n)$ are indeed achievable in a special case in the next section. □

Note that herein the optimal utilization is under the constraint of the fair-access criterion when $\tau \leq T/2$. We first give the algorithm for the optimal fair scheduling. We then show the optimal fair scheduling for the cases of $n = 3, 5$ in Figs. 9 and 10, respectively. Before showing the algorithm, we must provide some notation. Let A_i denote the frame generated by O_i, where $1 \leq i \leq n$.
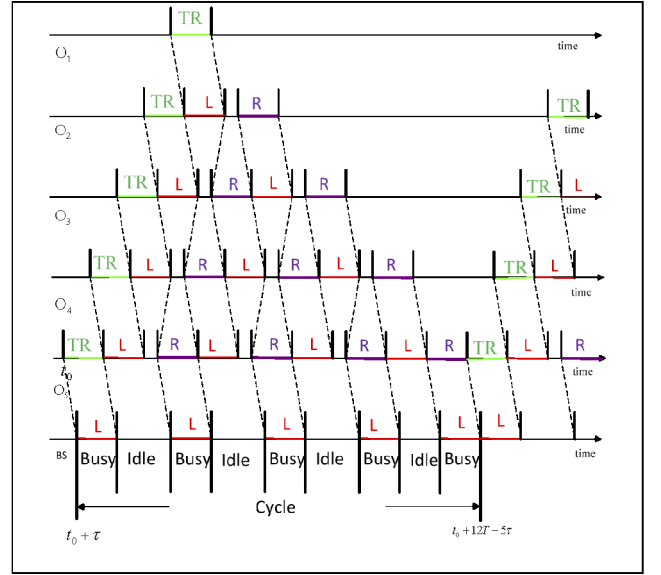

Fig. 10. Bottom-up approach for Linear topology (n = 5) [Legend: the same].

Algorithm for optimal fair scheduling for linear topology. First, we define a cycle. Let t_0 denote the time when O_n begins transmission of its own frame, A_n. Thus, the BS receives frame A_n from time $t_0 + \tau$. As we mentioned above, x is the cycle time for the network under the fair-access criterion. Thus, we define a cycle as $(t_0 + \tau, t_0 + \tau + x)$. Therefore, the next cycle is $(t_0 + \tau + x, t_0 + \tau + 2x)$.

Second, for any node O_i, in which $1 \leq i \leq n$ in the cycle $(t_0 + \tau, t_0 + \tau + x)$, it has a start time (the time at which starts to transmit its own frame, A_i) and an end time (the time at which O_i just completes A_1's transmission). We denote the start and end times by s_i and d_i, respectively. s_i and d_i are defined as follows:

$$s_i = \begin{cases} t_0 + (n-i)T - (n-i)\tau & 1 \leq i < n \\ t_0 & i = n, \end{cases}$$

$$d_i = \begin{cases} s_i + T + (i-1)(3T - 2\tau) & 1 \leq i < n \\ t_0 + x & i = n, \end{cases}$$

where $x = 3(n-1)T - 2(n-2)\tau$.

Third, we define (s_i, d_i) as an active period for node O_i, in which $(1 \leq i \leq n)$ is in the cycle $(t_0 + \tau, t_0 + \tau + x)$. In the period (s_i, d_i), O_i includes a TR (transmit own traffic) period and $i - 1$ subcycles. Their definitions are given as follows: $[s_i, s_i + T]$ denotes the TR period during which O_i transmits its own frame A_i; $[s_i + T, d_i]$ is divided into $i - 1$ subcycles; we denote a subcycle by $[u_{i,j}, u_{i,j+1}], j = 1, \ldots, i - 1$, during which time O_i receives and relays a frame from each upstream node. Thus, we have

$$\begin{cases} u_{i,1} = s_i + T \\ u_{i,j} = (j-1)(3T - 2\tau) + u_{i,1} & j = 2, \ldots, i-1 \\ u_{i,i} = d_i. \end{cases}$$

Finally, for any subcycle $[u_{i,j}, u_{i,j+1}]$, there are three phases. We give them as follows: In phase $[u_{i,j}, u_{i,j} + T]$, O_i receives a frame from O_{i-1}, where $2 \leq i \leq n$; in phase $[u_{i,j} + T, M]$, O_i is idle (neither receiving a frame nor transmitting a frame), where
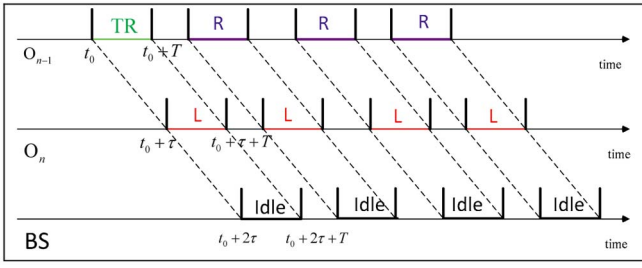
Fig. 11. Idle period generated by O_{n-1}'s transmission.

$$M = \begin{cases} u_{i,j} + T & i = n \text{ and } j = n-1 \\ u_{i,j} + T + T - 2\tau & \text{others;} \end{cases}$$

in phase $[M, u_{i,j+1}]$, where $u_{i,j+1} = M + T$, O_i relays a frame to O_{i+1}, where $2 \leq i \leq n$. Note, when $i = n$, O_{n+1} represents the base station.

Two examples of this schedule are illustrated in Figs. 9 and 10. We show the case in which $n = 3$ in Fig. 9. The cycle period is $6T - 2\tau$, and the utilization of the BS is $3T/6T - 2\tau$, which is consistent with Theorem 7. The theorem also holds for the case in which $n = 5$, as shown in Fig. 10, where the cycle period is $12T - 6\tau$ and the utilization of the BS is $5T/12T - 6\tau$. For the case of n nodes, it is easy to verify this (omitted). Thus, the performance bounds are indeed achievable in a special case under the algorithm above.

Theorem 8. *For the linear topology, under fair access, $U(n)$ is upper bounded by $nT/[nT + (n-1)T]$ for all $\tau(\tau > T/2)$.*

Proof of Theorem 8. For $n > 2$: During the time period x, the BS needs to receive at least n frames from O_n (including $n-1$ relayed frames and one of its generated frames). Thus, O_n transmits at least n frames. We have $b \geq nT$. In order for O_n to receive $(n-1)$ frames from O_{n-1}, O_n needs to listen for at least $(n-1)$ frames during which time O_n cannot transmit. Thus, there exists $(n-1)T$ corresponding idle periods in the base station. This fact is illustrated in Fig. 11. O_{n-1} transmits a frame in $(t_0, t_0 + T)$, then O_n receives it in $(t_0 + \tau, t_0 + \tau + T)$ since there is propagation delay τ. Thus, no frame will arrive base station in $t_0 + 2\tau, t_0 + 2\tau + T$. Therefore, during the time period x, we have $y \geq (n-1)T$. Therefore, we have the following inequality: $x = b + y \geq nT + (n-1)T = (2n-1)T$. Since we must minimize x to achieve the optimal utilization, we have $U(n) \leq nT/[nT + (n-1)T] = n/(2n-1)$.

For $n = 2$: Since we want $G_1 = G_2$ during the time period x, O_2 transmits at least two frames (one relayed frame and its own). We have $b \geq 2T$. O_2 needs to listen to at least one frame from O_1. We have $y \geq T$, and thus $x = b + y \geq 3T$. So, minimizing x yields the optimal utilization, $U(n) = 2T/x \leq 2T/3T = 2/3$, which proves the inequality for this case.

For $n = 1$. Obviously, $U(1) \leq 1$. □

Next, we address the impact of end-to-end performance bounds on the traffic load limitation of each sensor. Let ρ denote the traffic load generated by each sensor node. We express the propagation delay, τ, in normalized time units as $\alpha = \tau/T$. For a linear network under the constraint of the criterion, since each node can transmit at most one

original frame, which requires a period of T in every $3(n-1)T - 2(n-2)\tau$ time period, we must have $\rho \leq T/x = 1/[3(n-1) - 2(n-2)\alpha]$, where $0 \leq \alpha \leq 1/2$ if $n \geq 2$. Denote m as the fraction of actual data bits in a frame. We have the following theorem:

Theorem 9. *For the linear topology, under the fair-access criterion, for all $\tau(\tau \leq T/2)$, the maximum feasible per node traffic load is $m/[3(n-1) - 2(n-2)\alpha]$ if $n \geq 2$.*

Next, we consider the energy consumption aspect $E(n)(\tau \leq T/2)$. Let B_T, B_R, B_L, and B_S denote the energy consumption per unit of time for a node to transmit a frame or to receive a frame, when a node is listening, and when a node is sleeping, respectively. It is reasonable to assume that $B_T > B_R \geq B_L > B_S$. Let $E(n)$, $E_T(n)$, $E_R(n)$, $E_L(n)$, and $E_S(n)$ denote the energy consumption, the transmission energy consumption, the reception energy consumption, the listening energy consumption, and the sleeping energy consumption, respectively, for the linear topology under fair access in a cycle. Let $E_i(n)$ denote node O_i's energy consumption in a cycle.

Theorem 10. *For the linear topology, under fair access, $E(n)$ is lower bounded by the minimum energy consumption, $E_{opt}(n)$ when $\tau \leq T/2$:*

$$E_{opt}(n) = \sum_{i=1}^{n}(B_T iT + B_R(i-1)T + B_L((3n-2i-2)T - 2(n-2)\tau))).$$

Moreover, according to the Algorithm for Optimal Fair Scheduling for Linear Topology in Theorem 7, we can let nodes sleep when they neither transmit nor receive frames. Therefore, the more efficient energy consumption $\hat{E}_{opt}(n)$:

$$\hat{E}_{opt}(n) = \sum_{i=1}^{n}(B_T iT + B_R(i-1)T + B_S((3n-2i-2)T - 2(n-2)\tau))).$$

Proof of Theorem 10. Let $E(n)$ denote the total energy consumption for the linear topology which includes n nodes. It is easy to see that $E(n) = \sum_{i=1}^{n} E_i(n)$. Since $E_{opt}(n) = \min(E(n))$, $E_{opt}(n) = \min(\sum_{i=1}^{n} E_i(n))$. Since $E_i(n) \geq 0$ for $i = 1, 2, \ldots n$, we have $E_{opt}(n) = \sum_{i=1}^{n} \min(E_i(n))$ Therefore, we only need to determine the $\min(E_i(n))$. For any node O_i ($1 \leq i \leq n$) in a cycle, we have $E_i(n) = B_T T_T + B_R T_R + B_L T_L$, where T_T denotes the period during which O_i transmits frames in a cycle, T_R denotes the period during which O_i receives frames in a cycle, and T_L denotes the period during which O_i listens in a cycle. Thus, it is easy to see that $\min(E_i(n)) = \min(B_T T_T + B_R T_R + B_L T_L) = \min(B_T T_T) + \min(B_R T_R) + \min(B_L T_L)$, where $x = T_T + T_R + T_L$ and $B_T > B_R \geq B_L$. First, we consider the $B_T T_T$, as we know B_T is a positive constant parameter. Therefore, we only need to get the minimum of T_T. Since O_i transmits at least i frames (including $i-1$ relayed frames and one of its generated frames) during a cycle, we have $T_T \geq iT$. Thus, $\min(B_T T_T) = B_T iT$. As mentioned above, O_i relayed at least $i-1$ frames, meaning that O_i receives at least
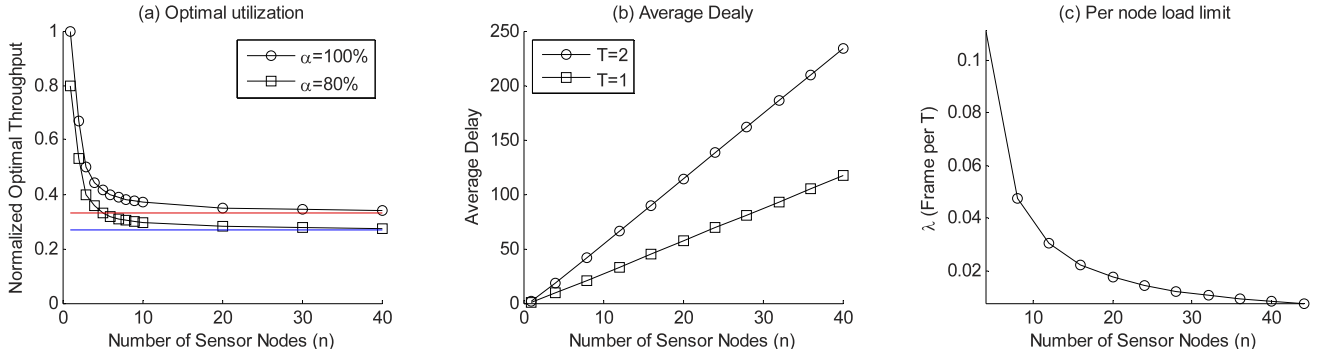
Fig. 12. Performance in linear topology (a) Optimal utilization, (b) Delay, and (c) Per node load limit.

$i - 1$ frames. We have $T_R \geq (i - 1)T$. Thus, we have $\min(B_R T_R) = B_R(i - 1)T$. Likewise, B_L is also a positive constant parameter, therefore, we only need to know the minimum of T_L under the constraint $x = T_T + T_R + T_L$. Therefore, we have $T_L = x - T_T + T_R$. From Theorem 7, we have $x = b + y \geq nT + (n-1)T + (n-2)(T - 2\tau)$. Thus, we have $T_L \geq (3n - 2i - 2)T - 2(n - 2)\tau$. Therefore, we have $\min(E_i(n)) = B_T iT + B_R(i-1)T + B_L[(3n - 2i - 2)T - 2(n-2)\tau]$. Therefore, we have $E_{opt}(n) = \sum_{i=1}^{n} \min(E_i(n)) = \sum_{i=1}^{n}(B_T iT + B_R(i-1)T + B_L((3n - 2i - 2)T - 2(n-2)\tau)))$. We want to reduce energy consumption further. According to the Algorithm for Optimal Fair Scheduling for Linear Topology in Theorem 3, nodes sleep during the period in which they should listen. Thus, we have $\hat{E}_{opt}(n) = \sum_{i=1}^{n} \min(E_i(n)) = \sum_{i=1}^{n}(B_T iT + B_R(i-1)T + B_S((3n - 2i - 2)T - 2(n-2)\tau)))$. □

6 PERFORMANCE EVALUATION OF RF-BASED WSNs

In this section, we provide some projected performances for WSNs (nonunderwater). To account for protocol overhead, the optimal utilizations have been multiplied by α, which is the fraction of actual data bits in a data frame.

6.1 Linear Topology

Fig. 12a shows the optimal utilization versus the number of nodes for different α values for the basic linear topology based on the bounds of Theorem 1. The optimal utilization decreases quickly as n increases and approaches the asymptotic lower limit of optimal utilization, as suggested by the theorem. When $n = 5$, the optimal utilization is already near the asymptotic bound, which is indicated by the horizontal, colored lines.

Figs. 12b and 12c show the more significant impacts on linear topologies of increasing the network size. The minimum average delay increases linearly with n, as shown in Fig. 12b. The traffic limit per sensor node decreases quickly as n increases, as shown in Fig. 12c, and approaches the asymptotic limit of zero.

6.2 Grid Topology

Fig. 13a shows the optimal utilization versus n for different α values in the two-row topologies of Fig. 2, as derived from Theorems 2 and 3. Fig. 13a shows that the topology of Fig. 2a may achieve much better utilization than the topology of

Fig. 2b. The delay and load characteristics of the two-row grid topology are illustrated by Figs. 13b and 13c.

6.3 Linear Topology versus 2-Row Grid

Fig. 14 compares the optimal utilization of the linear topology of Fig. 1 with that of the horizontal-first-forwarding 2-row grid of Fig. 2a. It is noteworthy that the optimal utilization of the Fig. 2a topology is better than that of the one in Fig. 1, due to parallel transmissions of diagonal neighbors. This suggests that a 2-row grid may be preferable to a linear topology for some applications in which a linear topology might have been the first consideration. This issue is left for further study. Note, however, that the vertical-first grid (Fig. 2b) actually performs worse in terms of network utilization, albeit insignificantly, than the linear topology.

7 PERFORMANCE EVALUATION OF ACOUSTIC-BASED UASNs

In this section, due to limited space, we present some selected results for underwater sensor networks. To account for protocol overhead, the optimal utilizations have been multiplied by m, which is the fraction of actual data bits in a frame. We define the propagation delay factor as $\alpha = \tau/T$.

Fig. 15a shows the optimal utilization versus the propagation delay factor (α) for different n values (number of nodes) when $m = 1$ based on the bounds of Theorem 3. We can see that for $\alpha = 0.5$, the throughput achieves maximum in this range of α for different n values. When n goes to infinity, the limit is $1/(3 - 2\alpha)$.

Figs. 15b and 15c show the optimal utilization versus the number of nodes when $m = 1$ and $m = 0.8$, respectively, for different α values based on the bounds of Theorem 3. The optimal utilization decreases quickly as n increases and approaches the asymptotic lower limit of optimal utilization, as suggested by the theorem. We can also see that for $\alpha = 0.5$, the throughput achieves maximum in this range of α.

Fig. 16a shows that the effective transmission delay increases linearly with n for different α values. Fig. 16b shows that the traffic limit per sensor node decreases quickly as n increases for different α values, and approaches the asymptotic limit of zero.

Fig. 17a shows the optimal energy consumption versus the propagation delay factor when $n = 10$, $B_R = B_L$, and $B_R/B_T = 1/2$. We observe that different nodes have equal tendencies to decrease energy consumption as the factor
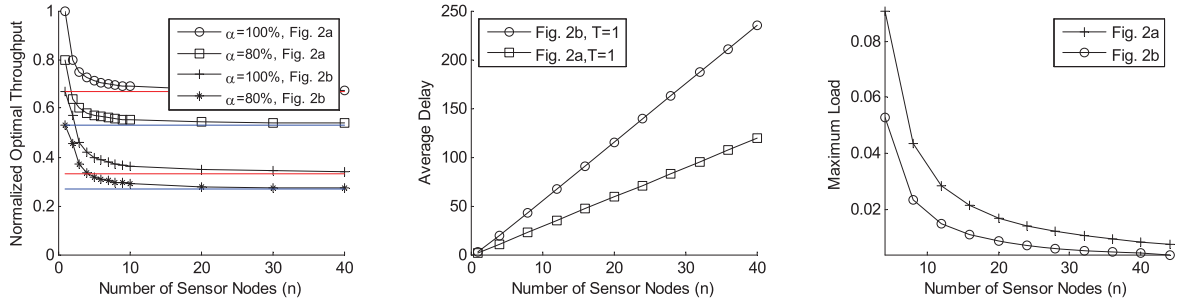
Fig. 13. Performance in 2-row grid (a) Optimal utilization, (b) Min cycle time, and (c) Max per node load.

increases and that the down stream nodes consume more energy.

Fig. 17b shows the optimal energy consumption versus the propagation delay factor when $n = 10$, $B_R = B_L$, and $B_R/B_T = 2/3$. We observe that different nodes have equal tendencies to decrease energy consumption as the factor increases and that the downstream nodes consume more energy.

Fig. 17c shows the optimal energy consumption versus $r = B_R/B_T$ when $n = 10$, $B_R = B_L$, and $\alpha = 0.25$. Fig. 17c shows that energy consumption increases as $r = B_R/B_T$ increases. Also, as the ratio approaches 1, energy consumption of different nodes will be equal.

Fig. 17d shows the optimal energy consumption versus $r = B_R/B_T$ when $n = 10$, $B_R = B_L$, and $\alpha = 0.5$. Fig. 17d shows that energy consumption increases as $r = B_R/B_T$ increases. Also, as the ratio approaches 1, energy consumption of different nodes will be equal.

8   ANALYSIS OF BOUNDS IN MORE COMPLEX TOPOLOGIES

8.1   RF-Based Wireless Sensor Network (Nonunderwater)

In this section, we show how to obtain the performance bounds of more complex topologies using the analysis mentioned in Theorems 1, 2, and 3. Note that obtained

Fig. 14. Optimal utilization (linear versus 2-row grid of Fig. 2a).

bounds from this analysis in this section may not be tight. As for tight bounds, we must have knowledge of entire network topologies and routing patterns such that we can design a scheduling algorithm to achieve them. In our analysis method, no node, including the base station, needs to be aware of the entire network topology. The only knowledge we need in this analysis is given as follows:

- The topology of nodes within three hops of the base station;
- Nodes within three hops of the base station must know how many nodes need them to transfer frames to the base station.

According to the above two rules, a complicated topology can be simplified. For example, to obtain the performance bounds of networks like the one in Fig. 18a, the only knowledge that we need to know is illustrated in Fig. 18b. From Fig. 18b, the number of nodes which need node e to transfer their frames is 6 and the number of nodes which need node f to transfer their frame is 5. In the following, we apply this analysis method to a $k \times n$ grid network. Data frames are forwarded along parallel rows in this grid network, as illustrated in Fig. 19. When k is odd, let $k = 2m + 1$, where $m = 0, 1, 2 \dots$. As illustrated in Fig. 19a, only nodes O_{1n}, O_{2n}, and O_{3n} can transfer data frames to BS directly. Likewise, when k is even, let $k = 2m$, where $m = 1, 2 \dots$ As illustrated in Fig. 19b, only nodes O_{1n} and O_{2n} can transfer data frames to the BS directly. We discuss performance upper bounds for this general grid network based on the value of k.

Case 1: k = 1. When $k = 1$, the general grid network was reduced to the linear topology given in Fig. 1. The only knowledge we need to obtain the upper bound on network utilization is given in Fig. 20. From Fig. 20, during the time period $x, O_{1(n-2)}$ needs to transmit at least $n - 2$ frames. The analysis method is given in Theorem 1. Thus, the upper bound on network utilization for the case $k = 1$ is $U(n) \leq n/[3(n-1)]$.

Case 2: k = 2. When $k = 2$, the general grid network was reduced to the 2-row grid topology given in Fig. 2a. The knowledge that we need to obtain the upper bound is given in Fig. 21. During the time period x, both $O_{1(n-2)}$ and $O_{2(n-2)}$ need to transmit at least $n - 2$ frames. Also, the analysis method to get the upper bound is given in Theorem 2. Thus, the upper bound on network utilization for case $k = 2$ is $U(2n) \leq 2n/(3n - 1)$.

Case 3: k = 3. For a 3-row grid topology network, the knowledge that we need to obtain the upper bound is given
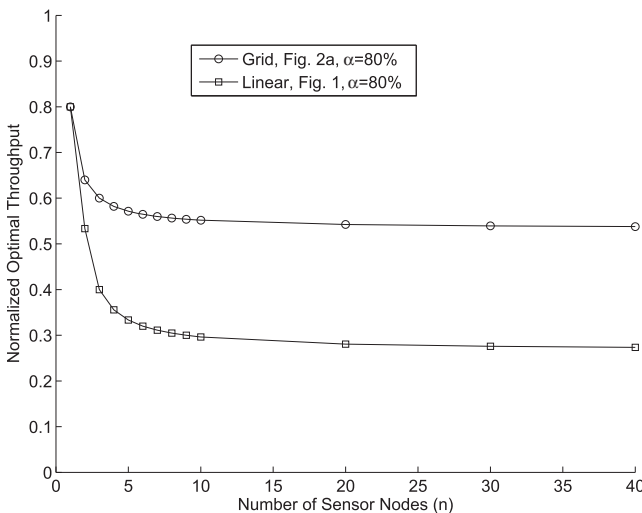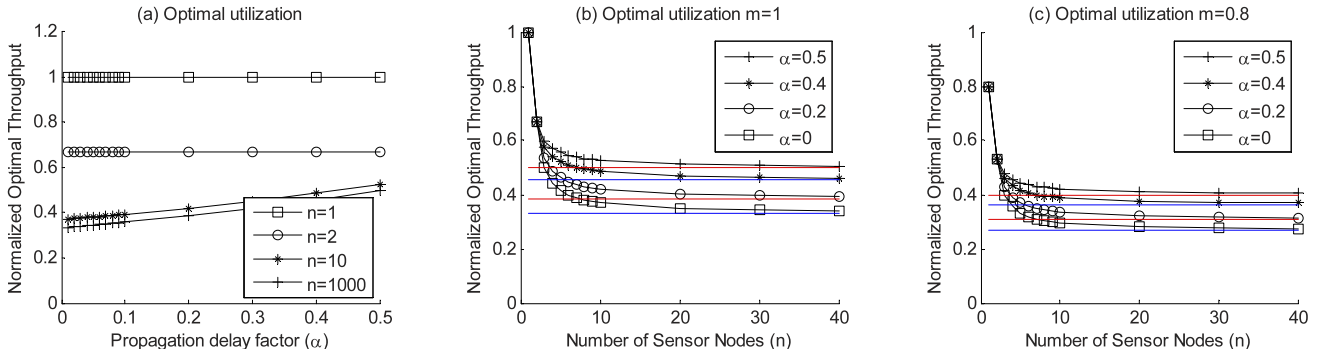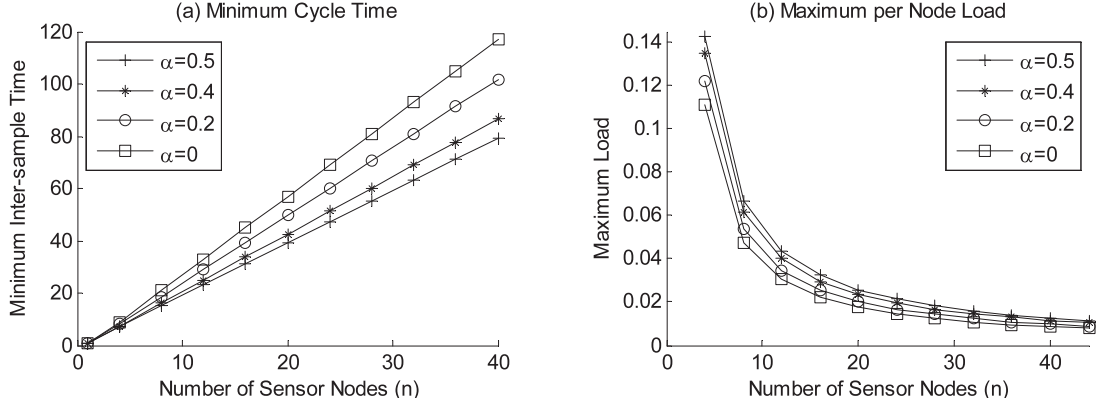
Fig. 15. Optimal utilization.

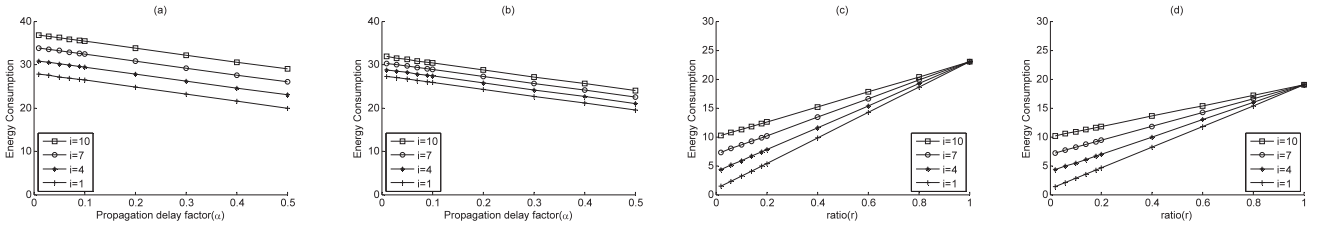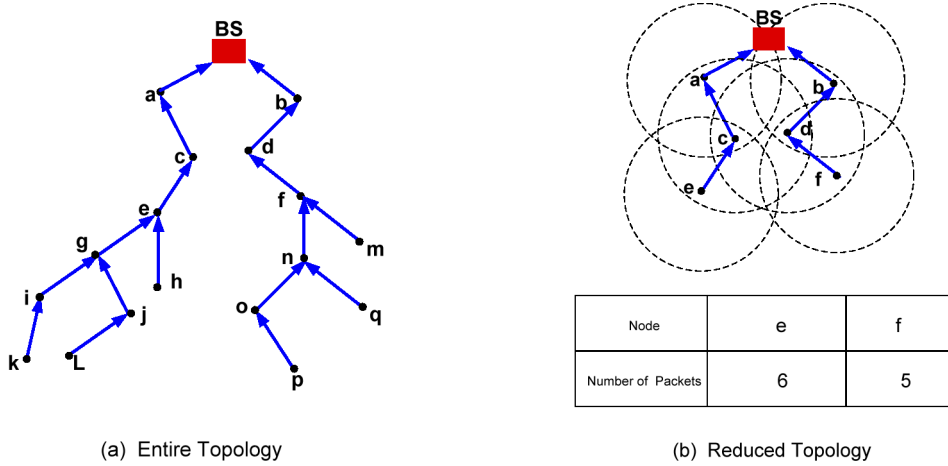Fig. 16. (a) Minimum cycle time, (b) Maximum per node load.

Fig. 17. (a) Optimal energy consumption, (b) Optimal energy consumption, (c) Optimal energy consumption versus r, and (d) Optimal energy consumption versus r.

(a) Entire Topology

(b) Reduced Topology

Fig. 18. Demonstration of network topology simplification.

in Fig. 22. During the time period x, $O_{1(n-2)}$, $O_{2(n-2)}$, and $O_{3(n-2)}$ need to transmit at least $n-2$ frames. Note that, when $O_{1(n-2)}$ transmits, O_{1n} cannot transmit but either O_{2n} or O_{3n} can. Similarly, when $O_{2(n-2)}$ transmits, O_{2n} cannot transmit but either O_{1n} or O_{3n} can. When $O_{3(n-2)}$ transmits,

O_{3n} cannot transmit but either O_{1n} or O_{2n} can. Under the fair-access criterion, O_{1n}, O_{2n}, and O_{3n} each need to transmit at least n frames to the BS. We have $b \geq 3nT$. In order for O_{1n} to receive $n-1$ frames from $O_{1(n-1)}$, O_{1n} needs to listen to at least $n-1$ frames, during which time O_{2n} cannot transmit

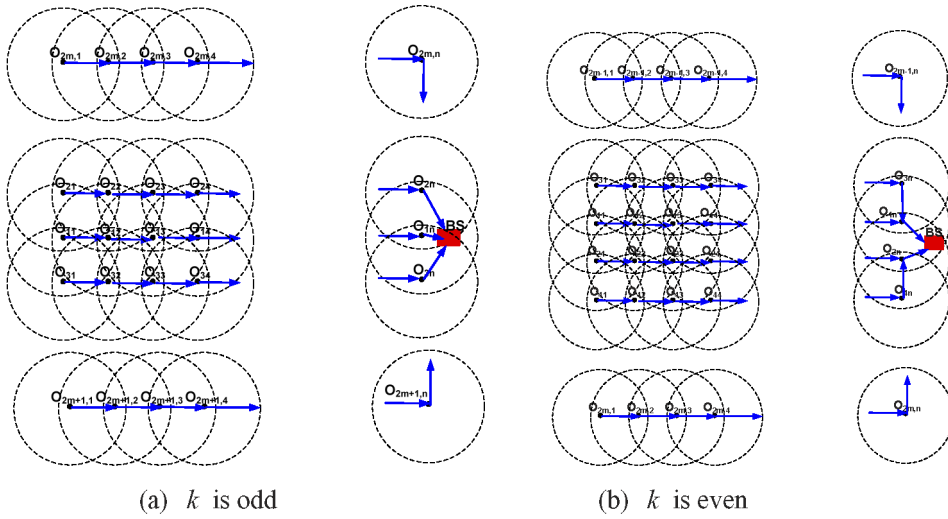(a) k is odd   (b) k is even

Fig. 19. General grid network.

but it can receive and O_{3n} can either transmit or receive. Similarly, in order for O_{3n} to receive $n-1$ frames from $O_{3(n-1)}$, O_{3n} needs to listen to at least $n-1$ frames during which time O_{2n} cannot transmit but it can receive, and O_{1n} can either transmit or receive. But in order for O_{2n} to receive $n-1$ frames from $O_{2(n-1)}$, O_{2n} needs to listen to at least $n-1$ frames, during which time neither O_{1n} nor O_{3n} can transmit, which means that the BS must be idle. Thus, $y \geq (n-1)T$. The upper bound on network utilization is $U(3n) \leq 3n/(3n + n - 1) = 3n/(4n - 1)$. In our previous work, we proved this upper bound can be achieved by a scheduling algorithm [18]. Thus, this bound is tight.
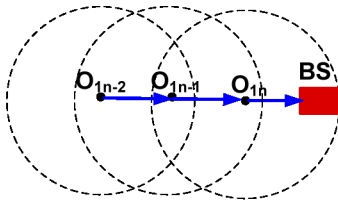


Fig. 20. $k = 1$.
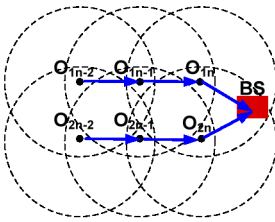
Fig. 21. $k = 2$.

Case 4: $k = 4$. For a 4-row grid topology network, the knowledge that we need to obtain the upper bound is given in Fig. 23. During the time period x, under the fair-access criterion, both O_{1n} and O_{2n} need to transmit at least $2n$ frames to the BS. We have $b \geq 4nT$. In order for O_{1n} to receive $n-1$ frames from $O_{1(n-1)}$ and receive n frames from O_{3n}, O_{1n} must listen to at least $2n - 1$ frames, during which time O_{2n} cannot transmit (i.e., the BS must be idle). Similarly, O_{2n} also needs to listen to at least $2n - 1$ frames, during which time O_{1n} cannot transmit. But note that, when O_{1n} receives frames, O_{2n} can also receive frames. Furthermore, note that when $O_{3(n-1)}$ transmits, O_{1n} cannot transmit but O_{2n} can. Likewise, when $O_{1(n-2)}$ transmits, O_{1n} cannot transmit but O_{2n} can. Similarly, when $O_{4(n-1)}$ transmits, O_{2n} cannot transmit but O_{1n} can. Likewise, when $O_{2(n-2)}$ transmits, O_{2n} cannot transmit but O_{1n} can. Therefore, the total time in which neither O_{1n} nor O_{2n} can transmit is $y \geq (2n - 1)T$. Thus, we have $x = b + y \geq 4nT + (2n - 1)T$. The upper bound is $U(4n) \leq 4n/(6n - 1)$. We also proved this upper bound can be achieved by a scheduling algorithm in our previous work [18].

Case 5: $k = 5$. For a 5-row grid topology network, the knowledge that we need to obtain the upper bound is given in Fig. 24. Under fair-access criterion, during time period x,
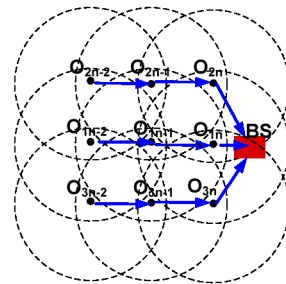

Fig. 22. $k = 3$.

Node	O_{1n-2}	O_{2n-2}	O_{3n-1}	O_{4n-1}
Number of Packets	n-2	n-2	n-1	n-1
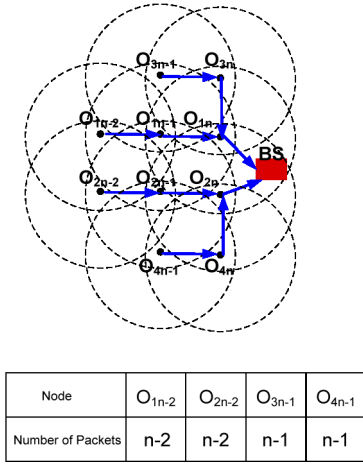
Fig. 23. $k = 4$.

O_{1n} needs to transmit at least n frames to the BS. O_{2n} and O_{3n} each need to transmit at least $2n$ frames to the BS. We have $b \geq 5nT$. In order for O_{1n} to receive $n-1$ frames from $O_{1(n-1)}$, O_{1n} must listen to at least $n-1$ frames, during which time both O_{2n} and O_{3n} cannot transmit (i.e., the BS must be idle). Except for node $O_{1(n-1)}$ and for other nodes more than two hops away from the BS, when they transmit, there always exists a node from O_{1n}, O_{2n}, and O_{3n} which can transmit. For example, when $O_{2(n-1)}$ transmits, O_{1n} and O_{2n} cannot transmit but O_{3n} can. Therefore, the total time when none of O_{1n}, O_{2n}, and O_{3n} can transmit is $y \geq (n-1)T$. Thus, we have $x = b + y \geq 5nT + (n-1)T$. The upper bound is $U(5n) \leq 5n/(6n-1)$.

Case 6: k is even and $k \geq 6$. For the case where k is even and $k \geq 6$, the knowledge that we need to obtain the upper bound is given in Fig. 25. In other words, any complicated grid topology with an even number of rows can be simplified to Fig. 25. As mentioned above, k can be denoted as $2m$ in this case. During the time period x, under fair-access criterion, both O_{1n} and O_{2n} need to transmit at least mn frames to the BS. We have $b \geq 2mnT$. In order for O_{1n} to receive $n-1$ frames from $O_{1(n-1)}$ and $(m-1)n$ frames from O_{3n}, O_{1n} must listen to at least $mn-1$ frames, during which time O_{2n} cannot transmit (i.e., the BS must be idle). Similarly, O_{2n} also needs to listen to at least $mn-1$ frames, during which time O_{1n} cannot transmit. But note that O_{1n} and O_{2n} can receive frames at the same time. Furthermore, when nodes which are three hops away from BS transmit,
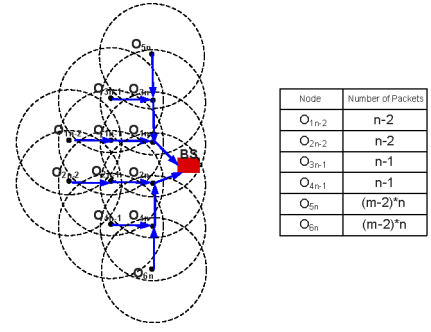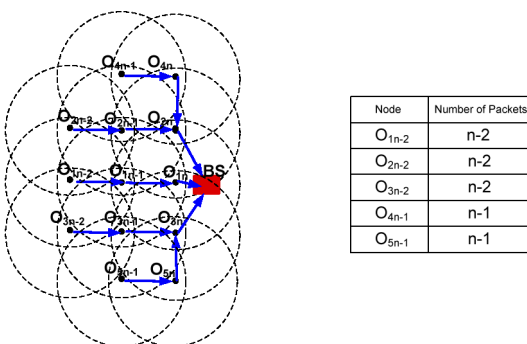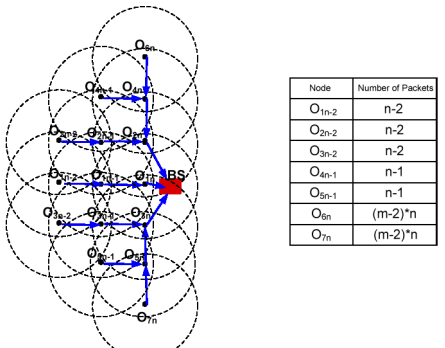
there always exists a node from O_{1n} and O_{2n} which can transmit. For example, when O_{5n} transmits, O_{1n} cannot transmit but O_{2n} can. Therefore, the total time when neither O_{1n} nor O_{2n} can transmit is $y \geq (mn-1)T$. Thus, we have $x = b + y \geq 2mnT + (mn-1)T$. The upper bound is $U(4n) \leq 2mn/(3mn-1)$.

Case 7: k is odd and $k \geq 7$. For the case where k is odd and $k \geq 7$, the knowledge that we need to obtain the upper bound is given in Fig. 26. In other words, any complicated grid topology with an odd number of rows can be simplified to Fig. 26. As mentioned above, k can be denoted as $2m+1$ in this case. Under fair-access criterion, during time period x, O_{1n} needs to transmit at least n frames to the BS. O_{2n} and O_{3n} each need to transmit at least mn frames to the BS. We have $b \geq (2m+1)nT$. In order for O_{1n} to receive $n-1$ frames from $O_{1(n-1)}$, O_{1n} must listen to at least $n-1$ frames, during which time both O_{2n} and O_{3n} cannot transmit (i.e., the BS must be idle). Except for node $O_{1(n-1)}$, when other nodes with more than two hops away from BS transmit, there always exists a node from O_{1n}, O_{2n}, and O_{3n} which can transmit. For example, when O_{6n} transmits, O_{2n} cannot transmit but O_{2n} and O_{3n} can. Therefore, the total time when none of O_{1n}, O_{2n}, and O_{3n} can transmit is $y \geq (n-1)T$. Thus, we have $x = b + y \geq (2m+1)nT + (n-1)T$. The upper bound is $U(5n) \leq (2m+1)n/[(2m+2)n-1]$. Note that upper bounds given in Cases 5, 6, 7 are not necessarily tight.

8.2 Acoustic-Based Underwater Sensor Network

In this section, we discuss the upper bounds on network utilization in multiline networks. Theorem 11 derives the upper bound based on the conclusion of Theorem 7.

Node	Number of Packets
O_{1n-2}	n-2
O_{2n-2}	n-2
O_{3n-1}	n-1
O_{4n-1}	n-1
O_{5n}	(m-2)*n
O_{6n}	(m-2)*n

Fig. 25. k is even and $k \geq 6$.

Node	Number of Packets
O_{1n-2}	n-2
O_{2n-2}	n-2
O_{3n-2}	n-2
O_{4n-1}	n-1
O_{5n-1}	n-1

Fig. 24. $k = 5$.

Node	Number of Packets
O_{1n-2}	n-2
O_{2n-2}	n-2
O_{3n-2}	n-2
O_{4n-1}	n-1
O_{5n-1}	n-1
O_{6n}	(m-2)*n
O_{7n}	(m-2)*n

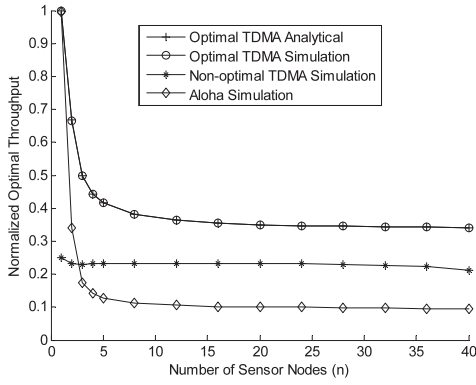Fig. 26. k is odd and $k \geq 7$.

Fig. 27. Simulation results for the linear topology.

Theorem 11. *Let n denote the total number of nodes in the network and M represent the branch with the maximum number of nodes. The number of nodes in branch M is denoted as n_M. The lower bound on the cycle time is $\max((n_M - 1)(3T - 2\tau) + 2\tau, n)$.*

Proof. First, under the constraint of fair access, the base station is required to receive at least one frame from each node in the circle. Thus, n is a lower bound in any network. Furthermore, according to the optimal fair scheduling for linear topology, the minimum cycle time for branch M is $(n_M - 1)(3T - 2\tau)$. Thus, the lower bound on the cycle time is $\max((n_M - 1)(3T - 2\tau) + 2\tau, n)$. □

9 SIMULATION RESULTS

In this section, we provide simulation results on throughput for linear topology and grid topology. Simulations are conducted with discrete event simulation using Java. In our simulations, the transmission range of each node is just one hop and the interference range is less than two hops. In other words, only neighboring nodes have overlapping transmission ranges. Other characteristics, such as variable propagation delay, frequency dependent path loss, and fading noise are not considered in this simulations. Fig. 27 shows the normalized utilization versus the number of nodes for the linear topology. As illustrated in Fig. 27, for the optimal fair TDMA scheduling mentioned in Section 4, the analytical results exactly match the simulation results. For showing optimal TDMA scheduling indeed has better performance than other scheduling algorithms, a specific TDMA and Aloha are simulated. Here, we briefly specify the TDMA scheduling. In the specific nonoptimal TDMA scheduling, a node with hop-count h is assigned time slots of

1. $4i + 1$ to send available frames if $h \bmod 4$ is 1,
2. $4i + 2$ to send available frames if $h \bmod 4$ is 2,
3. $4i + 3$ to send available frames if $h \bmod 4$ is 3, and
4. $4i + 4$ to send available frames if $h \bmod 4$ is 0,

where $i = 0, 1, 2, \ldots\ldots$. From Fig. 27, we can observe that, although this specific TDMA scheduling is not optimal, it still has better throughput performance than Aloha. In order to show the upper bound of throughput on general $k \times n$ grid topology, we simulate an Aloha protocol for a specific grid topology network where k is 6. As illustrated in Fig. 28, the optimal analytical bound is far better than
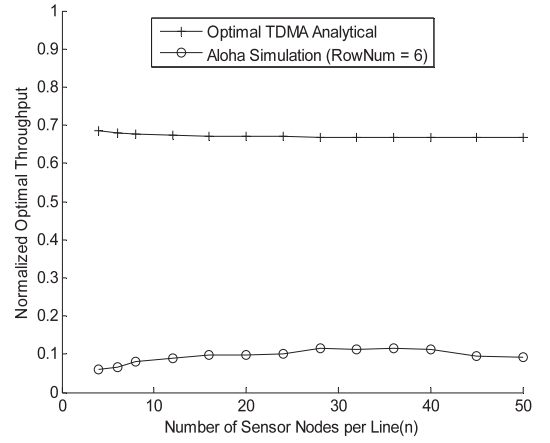

Fig. 28. Simulation results for grid topology.

simulation result of Aloha protocol. Furthermore, we explore the impact of the size of grid network on throughput by simulations. As illustrated in Fig. 29, when the size of grid network becomes larger, the throughput becomes small. That is because the nodes connecting to the BS will stay the same no matter how large the grid network is. Therefore, large network causes more traffic collisions and lead to low throughput.

10 CONCLUSION AND FUTURE WORK

In this paper, we explored fundamental limits for sustainable loads, utilization, and delays in specific multihop sensor network topologies for both wireless sensor networks and underwater acoustic sensor networks. We derived upper bounds on network utilization and lower bounds for minimum sample time in fixed linear and multirow grid topologies under the fair-access criterion. This fair-access criterion ensures that the data of all sensors are equally capable of reaching the base station. We proved that under some conditions/assumptions, these bounds are achievable and therefore optimal. From the limitation on the sustainable traffic loads derived, one can determine a lower bound for the sampling interval for such networks. The significance of these limits is that these bounds are independent of the selection of MAC protocols under both single-channel and half-duplex radios. Thus,
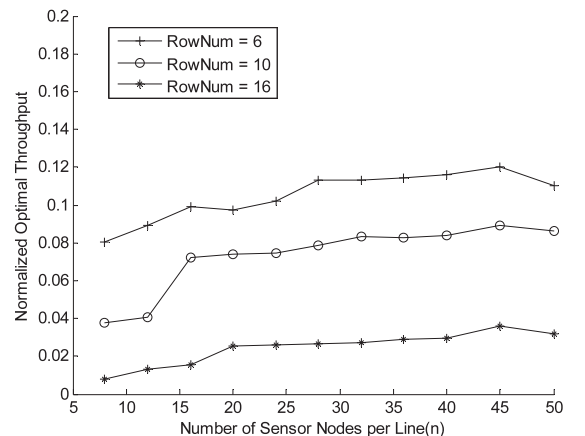

Fig. 29. Impact of row number on Aloha simulation.

the performance bounds for specific implementations of such network topologies can be explicitly determined to ensure the proposed networks are capable of satisfying the networks' specified utilization and delay requirements. Further, a self-clocking implementation was described that achieves the utilization bounds.

MAC protocols in WLANs/WPANs such as 802.3 (Ethernet), 802.11 (WiFi), 802.15.1 (Bluetooth), 802.15.3, and 802.15.4 (ZigBee) are contention-based (such as CSMA/CD, CSMA/CA, etc.), contention-free (such as polling), or hybrid. Under a single-channel and a half-duplex radio, our bounds hold for all of these MAC protocols, where a particular optimal TDMA can achieve the tight bound. For contention-based MAC, the bound could not be achieved due to collisions involved (please refer to the detail of the proofs of tight bounds).

Note that even though we assume acknowledgments are implicit, our bounds still apply when explicit acknowledgments are used, but they are no longer tight bounds. Obtaining tight bounds for explicit acknowledgments are our future work.

As other future work, we will investigate whether optimal schedules exist for irregular topologies and various routing schemes under the fair-access constraint. For underwater sensor networks, further analysis for $\tau > T/2$ is necessary. Moreover, we will further loosen the assumptions in this paper and explore how to apply our analysis method to other networks with different constraints. For example, instead of assuming that the spacing and propagation delays are fixed and equal, we assume there are always spacing and propagation delay errors existing in wireless sensor network. We will also explore whether our analysis method can be extended to other network types where both sides of the base station could have sensor nodes or the communication range could be larger such that two-hop or even more hops neighbors can hear messages.

ACKNOWLEDGMENTS

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